



PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

|                        |                  |
|------------------------|------------------|
| Application Number     | 09/721,402       |
| Filing Date            | 11/22/2000       |
| First Named Inventor   | Alok Chakrabarti |
| Group Art Unit         | 2615             |
| Examiner Name          | VINCENT F BOCCIO |
| Attorney Docket Number | MS1-688US        |

Total Number of Pages in This Submission

**ENCLOSURES (check all that apply)**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence<br>Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication<br>to Group<br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group<br>( <i>Appeal Notice, Brief, Reply Brief</i> )<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please<br>identify below):<br>PTO-1449; reference copies (5); return<br>receipt postcard |
| <input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority<br>Documents<br><input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 | Remarks                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                               |                                     |
|-------------------------------|-------------------------------------|
| Firm<br>or<br>Individual Name | Steven R. Sponseller/Reg. No. 39384 |
| Signature                     |                                     |
| Date                          | 12-20-04                            |

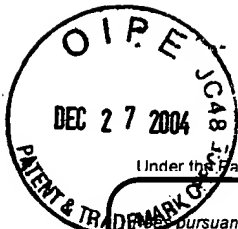
**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

|                       |              |      |          |
|-----------------------|--------------|------|----------|
| Typed or printed name | Cheryl Boies |      |          |
| Signature             |              | Date | 12-20-04 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (12-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.

Pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL**  
**For FY 2005**☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 0.00

**Complete if Known**

Application Number 09/721,402

Filing Date 11/22/2000

First Named Inventor Chakrabarti

Examiner Name Boccio

Art Unit 2615

Attorney Docket No. MS1-688US

**METHOD OF PAYMENT** (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 12-0769 Deposit Account Name: Lee & Hayes, PLLC

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, **except for the filing fee**☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
|                  | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) |                |
| Utility          | 300         | 150                   | 500         | 250                   | 200              | 100                   |                |
| Design           | 200         | 100                   | 100         | 50                    | 130              | 65                    |                |
| Plant            | 200         | 100                   | 300         | 150                   | 160              | 80                    |                |
| Reissue          | 300         | 150                   | 500         | 250                   | 600              | 300                   |                |
| Provisional      | 200         | 100                   | 0           | 0                     | 0                | 0                     |                |

**2. EXCESS CLAIM FEES**

| Fee Description                                                                                         | Fee (\$) | Small Entity Fee (\$) |
|---------------------------------------------------------------------------------------------------------|----------|-----------------------|
| Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent            | 50       | 25                    |
| Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent | 200      | 100                   |
| Multiple dependent claims                                                                               | 360      | 180                   |

|                                                                       |                     |                 |                      |                                  |                 |                      |
|-----------------------------------------------------------------------|---------------------|-----------------|----------------------|----------------------------------|-----------------|----------------------|
| <b>Total Claims</b>                                                   | <b>Extra Claims</b> | <b>Fee (\$)</b> | <b>Fee Paid (\$)</b> | <b>Multiple Dependent Claims</b> | <b>Fee (\$)</b> | <b>Fee Paid (\$)</b> |
| - 20 or HP = _____ x 50 = _____                                       |                     |                 |                      |                                  |                 |                      |
| HP = highest number of total claims paid for, if greater than 20      |                     |                 |                      |                                  |                 |                      |
| <b>Indep. Claims</b>                                                  | <b>Extra Claims</b> | <b>Fee (\$)</b> | <b>Fee Paid (\$)</b> |                                  |                 |                      |
| - 3 or HP = _____ x 200 = _____                                       |                     |                 |                      |                                  |                 |                      |
| HP = highest number of independent claims paid for, if greater than 3 |                     |                 |                      |                                  |                 |                      |

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

|                                                                               |                     |                                                         |                 |                      |
|-------------------------------------------------------------------------------|---------------------|---------------------------------------------------------|-----------------|----------------------|
| <b>Total Sheets</b>                                                           | <b>Extra Sheets</b> | <b>Number of each additional 50 or fraction thereof</b> | <b>Fee (\$)</b> | <b>Fee Paid (\$)</b> |
| _____ - 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____ |                     |                                                         |                 |                      |

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other: \_\_\_\_\_

**Fees Paid (\$)****SUBMITTED BY**

|                   |                      |                                            |                          |
|-------------------|----------------------|--------------------------------------------|--------------------------|
| Signature         |                      | Registration No. 39384<br>(Attorney/Agent) | Telephone (509) 324-9256 |
| Name (Print/Type) | Steven R. Spenseller | Date 12-20-04                              |                          |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. ....09/721,402  
Filing Date ..... 11/22/2000  
Confirmation No. ....2724  
Inventorship ..... Alok Chakrabarti  
Applicant ..... Microsoft Corporation  
Group Art Unit .....2615  
Examiner ..... VINCENT F BOCCIO  
Attorney's Docket No. .... MS1-0688US  
Title: Improved DVD Navigator and Application Programming Interfaces (APIs)

**INFORMATION DISCLOSURE STATEMENT**

**References -- See Attached Form PTO-1449**

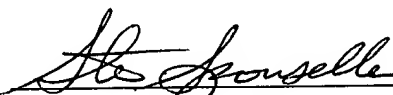
To: Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

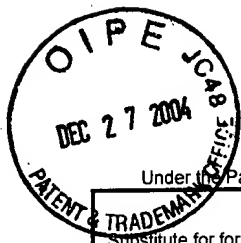
From: Steven R. Sponseller (Tel. 509-324-9256; Fax 509-323-8979)  
Lee & Hayes, PLLC  
421 W. Riverside Avenue, Suite 500  
Spokane, WA 99201

The attached form PTO-1449 is submitted in compliance with Applicant's duty of disclosure under 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 as set forth in 37 CFR §1.17(p).

Dated: 12-20-04

By:   
Steven R. Sponseller  
Reg. No. 39384



PTO/SB/08A (08-03)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |                        | <b>Complete if Known</b> |                  |
|                                                                                                   |                        | Application Number       | 09/721,402       |
|                                                                                                   |                        | Filing Date              | 11/22/2000       |
|                                                                                                   |                        | First Named Inventor     | Alok Chakrabarti |
|                                                                                                   |                        | Art Unit                 | 2615             |
|                                                                                                   |                        | Examiner Name            | VINCENT F BOCCIO |
| Sheet 1 of 1                                                                                      | Attorney Docket Number | MS1-688US                |                  |

| U.S. PATENT DOCUMENTS |                          |                                          |  |                                |                                                    |                                                                                 |
|-----------------------|--------------------------|------------------------------------------|--|--------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------|
| Examiner<br>Initials' | Cite<br>No. <sup>1</sup> | Document Number                          |  | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |  |                                |                                                    |                                                                                 |
|                       |                          | US- 6122434                              |  | 09-19-2000                     | Sawabe et al.                                      |                                                                                 |
|                       |                          | US- 6370323                              |  | 04-09-2002                     | Adolph et al.                                      |                                                                                 |
|                       |                          | US- 6643450                              |  | 11-04-2003                     | deCarmo                                            |                                                                                 |
|                       |                          | US- 2003/0035007                         |  | 02-20-2003                     | Wugofski                                           |                                                                                 |
|                       |                          | US- 2003/0152366                         |  | 08-14-2003                     | Kanazawa et al.                                    |                                                                                 |
|                       |                          | US-                                      |  |                                |                                                    |                                                                                 |
|                       |                          | US-                                      |  |                                |                                                    |                                                                                 |
|                       |                          | US-                                      |  |                                |                                                    |                                                                                 |
|                       |                          | US-                                      |  |                                |                                                    |                                                                                 |
|                       |                          | US-                                      |  |                                |                                                    |                                                                                 |
|                       |                          | US-                                      |  |                                |                                                    |                                                                                 |
|                       |                          | US-                                      |  |                                |                                                    |                                                                                 |
|                       |                          | US-                                      |  |                                |                                                    |                                                                                 |
|                       |                          | US-                                      |  |                                |                                                    |                                                                                 |
|                       |                          | US-                                      |  |                                |                                                    |                                                                                 |
|                       |                          | US-                                      |  |                                |                                                    |                                                                                 |
|                       |                          | US-                                      |  |                                |                                                    |                                                                                 |
|                       |                          | US-                                      |  |                                |                                                    |                                                                                 |
|                       |                          | US-                                      |  |                                |                                                    |                                                                                 |
|                       |                          | US-                                      |  |                                |                                                    |                                                                                 |

| FOREIGN PATENT DOCUMENTS          |                          |                                                                                   |                                |                                                    |                                                                                 |                |
|-----------------------------------|--------------------------|-----------------------------------------------------------------------------------|--------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------|----------------|
| Examiner<br>Initials <sup>1</sup> | Cite<br>No. <sup>1</sup> | Foreign Patent Document                                                           | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |
|                                   |                          | Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5</sup> (if known) |                                |                                                    |                                                                                 |                |
|                                   |                          |                                                                                   |                                |                                                    |                                                                                 |                |
|                                   |                          |                                                                                   |                                |                                                    |                                                                                 |                |
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|                                   |                          |                                                                                   |                                |                                                    |                                                                                 |                |

|                       |                    |
|-----------------------|--------------------|
| Examiner<br>Signature | Date<br>Considered |
|-----------------------|--------------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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